

ENIT User Manual

NSEIL

January 2012



ELECTRONIC NSE INTERFACE FOR TRADING MEMBERS (ENIT)

**USER MANUAL
FOR
TRADING MEMBERS**



**NSEIL
EXCHANGE PLAZA
PLOT NO. C/1, G BLOCK
BANDRA-KURLA COMPLEX
BANDRA (E)
MUMBAI 400 051**

1-JANUARY-2012

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1. Introduction

ENIT or 'Electronic NSE Interface for Trading Members' is a fully electronic, internet enabled application. This interface provides a two way mechanism for exchange of information for various processes between the Trading Members and the Exchange.

This document explains the functionality available in ENIT and serves as guideline to users for using the application.

1.1 Scope

The scope of this manual includes.

- Navigation
- Administrator/User creation
- Details of various modules available in ENIT

1.2 Audience

This manual is for use by trading members who will use the system.

1.3 Abbreviations and acronyms

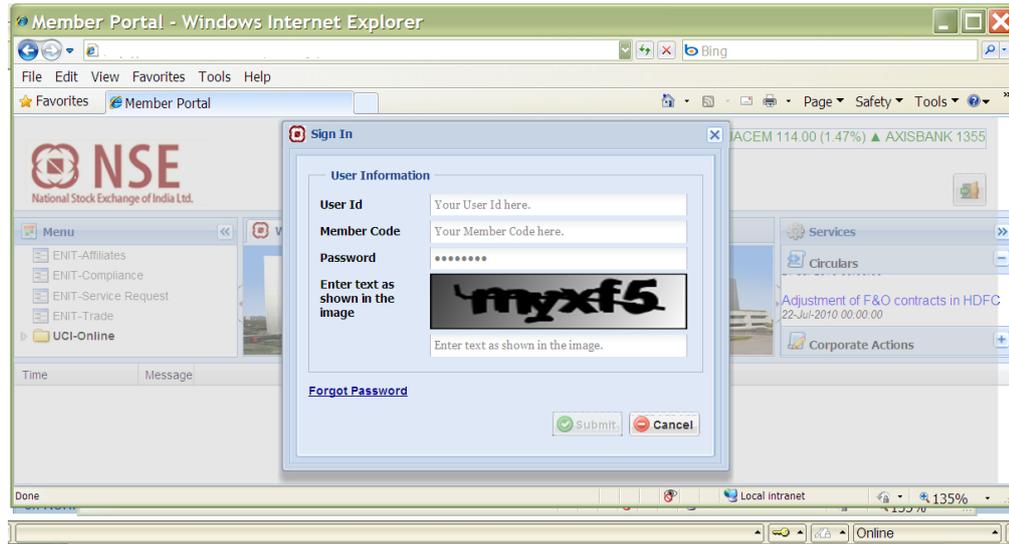
Acronym & Abbreviations	Full Form
NSEIL	National Stock Exchange of India Limited
ENIT	Electronic NSE Interface for Trading Members

1.4 Software Requirements:

ENIT can be accessed through the integrated member portal which is best viewed with IE versions 6 & above. Integrated Member Portal is not compatible with Firefox Mozilla browser.

1.5 Login Module

Users are authenticated on connecting to the Member Portal application > ENIT. Authentication is on the basis of unique User id, Member code, Password, captcha validation. On successful authentication, the user would be provided with list of menu options depending on user login type.



If wrong password is entered more than 3 times, the user id will get locked. To reset the password, the user has to login with the ‘Admin’ user id and reset the ENIT password. However, entering of wrong captcha is not considered as an invalid attempt.

User details have to be entered here. Length of User ID can be maximum upto 15 characters. Mandatory fields are User id, name and password. The password should follow the below mentioned rule

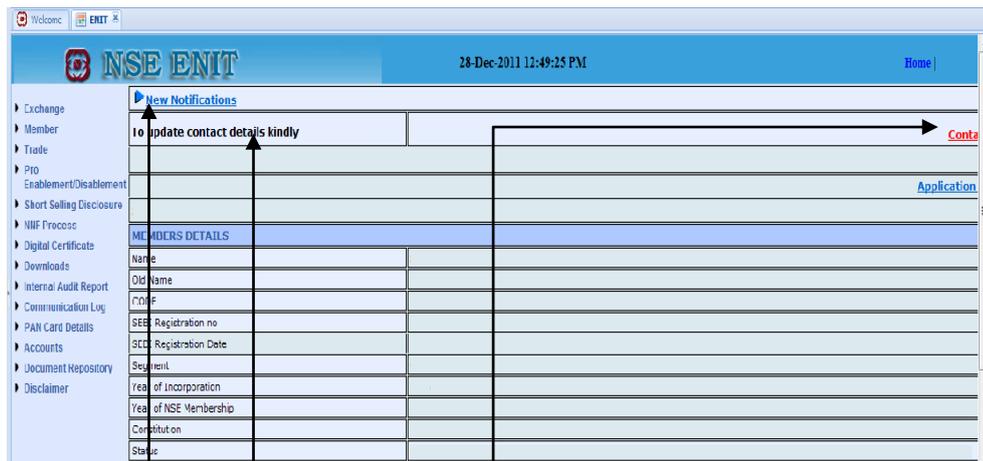
1. Minimum length 8.
2. At least a number
3. At least a Special character
4. At least a Lower case alphabet
5. At least a Upper case alphabet

2. ENIT - Getting Started

ENIT application has been divided into ENIT-Compliance, ENIT- Affiliates, ENIT-Service request and ENIT- Trade. With a valid User id and password, a sub-user would be able to access all the roles assigned to him with a single sign on. After clicking on ENIT-Service Request, all the modules for which the access is granted by the admin user to the ENIT sub user will reflect on the left hand side of the screen.

Various menus are displayed on the left hand side of the screen on the ENIT home page. The home page displays member information viz. member details, registered office, corporate office, Managing Director/ CEO details, Compliance officer details, Office details and Sub Broker details.

ENIT Home Page



2.1 “New Notifications” - It contains all communications sent by the Exchange to the member.

2.2 “To update contact details kindly click here” - On clicking the aforesaid link, the member can update the following information:-

- Update CEO Details
- Update Compliance Officer Details
- Update Correspondence Office details

The revised information will reflect in ENIT on the next day.

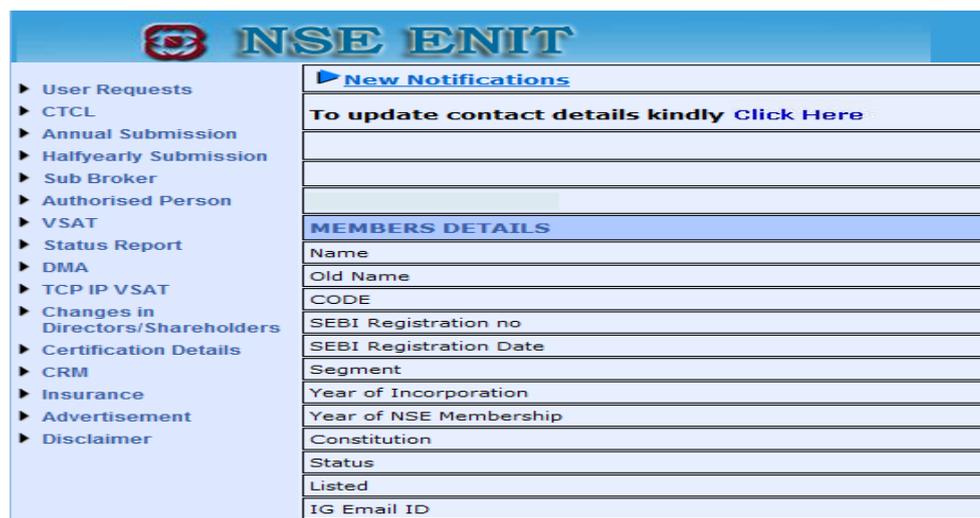
2.3 “Contact us” - On the right hand side of the home page, there is a link for “Contact Us”. The link provides the department wise contact details of the officials. A snapshot of the same is provided below:-

NSE		Quick Guide to Appropriate Desk			
		BOARD NUMBERS	022 66418100-14	022 26598100	
DEPARTMENT	PARTICULARS	DIRECT LINE	EXTENSION	CONTACT PERSON	Departmental Email for query raising by members
ARBITRATION	Status enquiries	022	3310	Mr. Abhijeet Kulkarni	arbitration_mumbai@nse.co.in
	Hearing Dates	26598192	3309	Mr. Cyril D'souza	
	Filing Applications	Fax 022			
	Others	26598191	3305	Ms. Simone Gonsalves	
	Types of acceptable collaterals	022 26598263/5	4082	Mr. Dharendra Rautela	
COLLATERALS	Bank Guarantees & FD Receipts	022 26598263/5	4087 4080	Mr. Virendra Verma Mr. Sajit Phillips	
	G Sec	022 26598263/5	4091	Mr. Dharendra Rautela Mr. Vrushali Karane	
	List of Approved securities & Banks	022 26598263/5	4082 4089	Mr. Dharendra Rautela Mr. Nilesh Shenole	
	Collateral deposit queries- F&O / CM	022 26598263/5	4082 4090	Mr. Dharendra Rautela Ms. Vrushali Karane	
	CLM related queries (Activation and password reset requests)	022 26598239 Fax 022 2659824344	4092 4095	Mr. Ambrish Singh Mr. Ankit Tewari	
	Collateral Release queries- F&O / CM	022 26598239	4093	Mr. Sanjay Das	

3 ENIT Modules

On clicking the 'Exchange' option on the home page, the following ENIT modules can be accessed:-

Sr. No.	ENIT Module Name	Service request name
1	Exchange	User Requests
2	Exchange	CTCL
3	Exchange	Sub Broker
4	Exchange	Authorized Person
5	Exchange	DMA
6	Exchange	Certification Details
7	Member	Member Contact Details
8	Member	Authorized Person Details
9	Digital Certificate	Configuration, FAQ, Digital Signature Guide
10	Document Repository	-
11	PAN Card Details	-
12	Communication Log	-
13	Downloads	-
14	Status Report	Request for Status Report

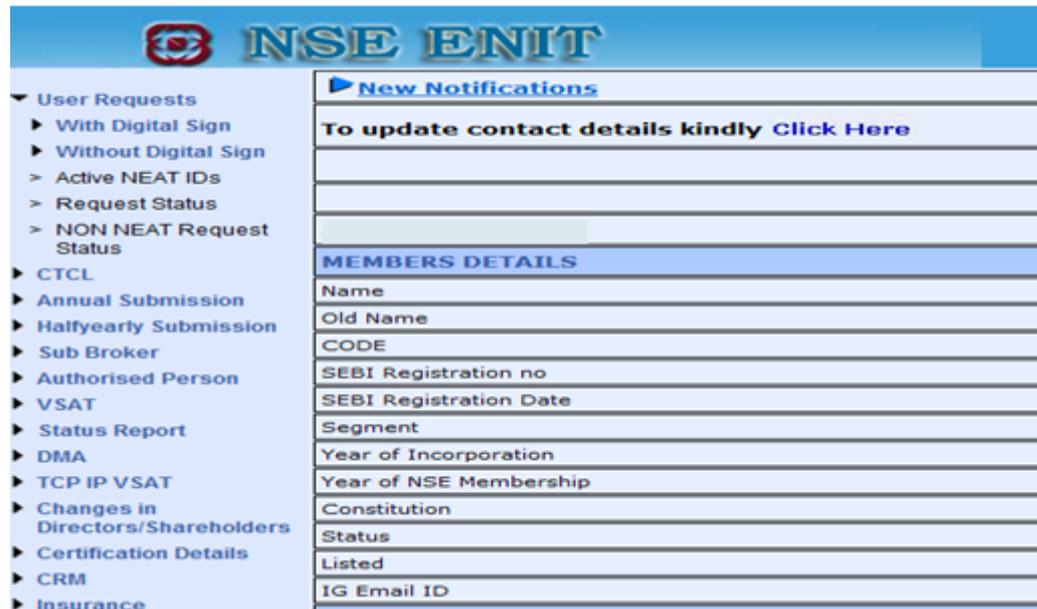


3.1 User Requests - This module pertains to the application for NEAT user Id requests. The member can apply for following type of user ID requests through this module:-

- Request for New User
- Change User Name
- Shifting of User ID
- Disable User ID
- Double Lock User ID
- Change User Status
- Enable/disable SLBM

The above mentioned requests can be submitted to the Exchange;

- a) With Digital Signature – Request can be submitted to the Exchange using digital signature. No physical documents need to be submitted to the Exchange.
- b) Without Digital Signature – Request can be submitted to the Exchange without digital signature. Physical documents need to be submitted to the Exchange for further processing.



The screenshot shows the NSE ENIT web interface. On the left is a navigation menu with the following items:

- ▼ User Requests
 - ▶ With Digital Sign
 - ▶ Without Digital Sign
 - > Active NEAT IDs
 - > Request Status
 - > NON NEAT Request Status
- ▶ CTCL
 - ▶ Annual Submission
 - ▶ Halfyearly Submission
 - ▶ Sub Broker
 - ▶ Authorised Person
 - ▶ VSAT
 - ▶ Status Report
 - ▶ DMA
 - ▶ TCP IP VSAT
 - ▶ Changes in Directors/Shareholders
 - ▶ Certification Details
 - ▶ CRM
 - ▶ Insurance

The main content area is titled 'NSE ENIT' and contains the following sections:

- New Notifications**
 - To update contact details kindly [Click Here](#)
- MEMBERS DETAILS**

Name
Old Name
CODE
SEBI Registration no
SEBI Registration Date
Segment
Year of Incorporation
Year of NSE Membership
Constitution
Status
Listed
IG Email ID

3.2 CTCL – The reporting of 12 digit CTCL id's viz. activation / deactivation / modification and the relevant reports can be accessed through this module. The type of service requests available under the CTCL module is mentioned below;

- CTCL-ID Activation
- CTCL-ID Deactivation
- CTCL Report
- CTCL Mismatches
- Active CTCL IDs
- CTCL Bulk Upload
- CTCL Location Mapping
- CTCL Location Mapping Status
- CTCL-ID Modification

NSE ENIT

- ▶ User Requests
- ▼ CTCL
 - > CTCL-ID Activation
 - > CTCL-ID Deactivation
 - > CTCL Report
 - > CTCL Mismatches
 - > Active CTCL IDs
 - > CTCL Bulk Upload
 - > CTCL Location Mapping
 - > CTCL Location Mapping Status
 - > CTCL-ID Modification
- ▶ Annual Submission
- ▶ Halfyearly Submission
- ▶ Sub Broker
- ▶ Authorised Person
- ▶ VSAT
- ▶ Status Report
- ▶ DMA
- ▶ TCP IP VSAT

New Notifications

To update contact details kindly [Click Here](#)

MEMBERS DETAILS	
Name	
Old Name	
CODE	
SEBI Registration no	
SEBI Registration Date	
Segment	
Year of Incorporation	
Year of NSE Membership	
Constitution	
Status	
Listed	
IG Email ID	

3.3 Sub Broker – The “sub broker” module on ENIT consists of the following;

- Sub Broker master
- Sub Broker Details
- Change in affiliation
- Change in affiliation report
- Sub broker cancellation
- Sub broker cancellation details

NSE ENIT

- ▶ User Requests
- ▶ CTCL
- ▶ Annual Submission
- ▶ Halfyearly Submission
- ▼ Sub Broker
 - > Sub-Broker Master
 - > Sub-Broker Details
 - > Change in affiliation
 - > Change in affiliation Report
 - > Sub-Broker Cancellation
 - > Sub-Broker Cancellation Details
- ▶ Authorised Person
- ▶ VSAT
- ▶ Status Report
- ▶ DMA
- ▶ TCP IP VSAT
- ▶ Changes in Directors/Shareholders
- ▶ Certification Details
- ▶ CRM
- ▶ Insurance

New Notifications

To update contact details kindly

MEMBERS DETAILS	
Name	
Old Name	
CODE	
SEBI Registration no	
SEBI Registration Date	
Segment	
Year of Incorporation	
Year of NSE Membership	
Constitution	
Status	
Listed	
IG Email ID	

REGISTERED OFFICE

Registered Office Address

3.4 Authorized Person - Member can place an online request for appointment of Authorized Person and Cancellation of Authorized Person. The Authorized person module consists of the following:-

- Authorized Person Master
- Authorized Person Master – Digital Sign
- Cancellation of Authorized Person
- Authorized person Details
- Cancellation of Authorized Person – Digital Sign
- Authorized person cancellation Details

The above mentioned requests can be submitted to the Exchange;

- a) With Digital Signature – Request can be submitted to the Exchange using digital signature. No physical documents need to be submitted to the Exchange.
- b) Without Digital Signature – Request can be submitted to the Exchange without digital signature. Physical documents need to be submitted to the Exchange for further processing.



NSE ENIT	
<ul style="list-style-type: none"> ▶ User Requests ▶ CTCL ▶ Annual Submission ▶ Halfyearly Submission ▶ Sub Broker ▼ Authorized Person <ul style="list-style-type: none"> > Authorised Person Master > Authorised Person Master-DigiSign > Authorised Person Details > Cancellation of Authorised Person > Cancellation of Authorised Person DigiSign > Authorised Person Cancellation Details ▶ VSAT ▶ Status Report ▶ DMA ▶ TCP IP VSAT ▶ Changes in 	<p>▶ New Notifications</p> <p>To update contact details kindly Click Here</p> <hr/> <p style="text-align: center;">MEMBERS DETAILS</p> <p>Name</p> <p>Old Name</p> <p>CODE</p> <p>SEBI Registration no</p> <p>SEBI Registration Date</p> <p>Segment</p> <p>Year of Incorporation</p> <p>Year of NSE Membership</p> <p>Constitution</p> <p>Status</p> <p>Listed</p> <p>IG Email ID</p> <p style="text-align: center;">REGISTERED OFFICE</p> <p>Registered Office Address</p>

3.5 Direct Market Access (DMA) – Requests for activation and deactivation of DMA terminals can be placed through this option. In addition to this, a DMA Report is also available wherein the member can check for the details of the ids. DMA bulk upload facility is also available wherein a member can upload multiple ids.

The screenshot shows the NSE ENIT web interface. On the left, a navigation menu includes options like 'User Requests', 'CTCL', 'Annual Submission', 'Halfyearly Submission', 'Sub Broker', 'Authorised Person', 'VSAT', 'Status Report', 'DMA' (with sub-options for Activation, Deactivation, Report, and Bulk Upload), 'TCP IP VSAT', 'Changes in Directors/Shareholders', 'Certification Details', 'CRM', 'Insurance', 'Advertisement', and 'Disclaimer'. The main content area is titled 'New Notifications' and contains a message: 'To update contact details kindly'. Below this is a section for 'MEMBERS DETAILS' with a list of fields: Name, Old Name, CODE, SEBI Registration no, SEBI Registration Date, Segment, Year of Incorporation, Year of NSE Membership, Constitution, Status, Listed, and IG Email ID. At the bottom, there is a section for 'REGISTERED OFFICE' with a field for 'Registered Office Address'.

3.6 Certification details – A member can check the affiliation details of any certificate under this option. The facility enables the member to check whether the certificate is affiliated to any other trading member before applying for a user id.

The screenshot shows the 'Registration Details' form in the NSE ENIT interface. The top right corner displays the date and time: '28-Dec-2011 12:26:14 PM'. The left navigation menu is the same as in the previous screenshot. The main content area is titled 'Registration Details' and contains a form with two numbered steps:

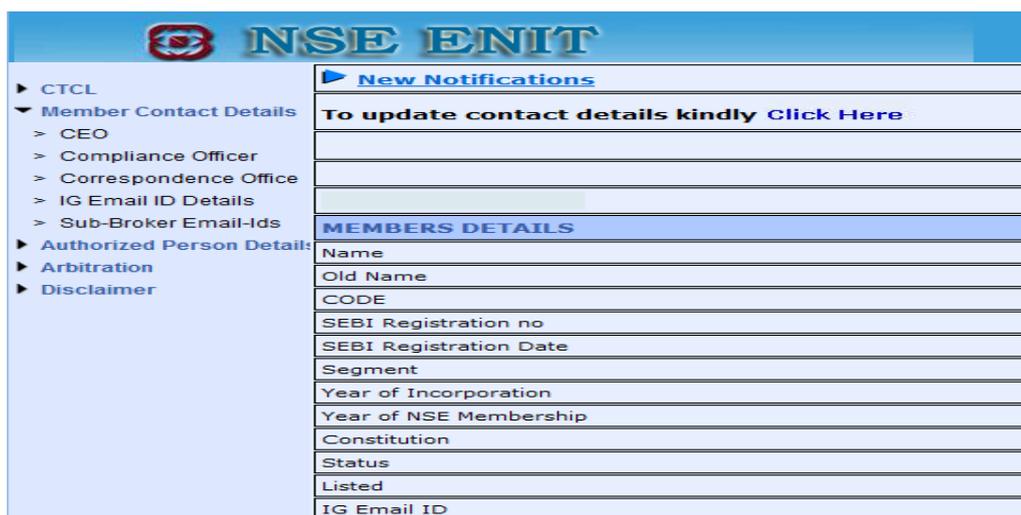
- Certification Details:** Includes radio buttons for 'NCFM' (selected) and 'OTHER'.
- Registration No:** Includes a dropdown menu with 'NCFM-' selected and an adjacent input field. A 'Submit' button is located to the right of this field.

 Below the form, there is a message: 'Please Enter Registration number to fetch Registration and Affiliation details'. Underneath this message are two dropdown menus: 'Registration Details' and 'Affiliation details'.

3.7 Member Contact Details - Under the Members tab on the ENIT home page, the Member Contact Details are made available wherein the following details are reflected:-

- CEO
- Compliance Officer
- Correspondence Office
- IG Email ID Details
- Sub Broker Email-id

The member can update the above mentioned details and the same will be reflected on ENIT, the following day.



3.8 Authorized Person Details - The Authorized Person list can be accessed under Members > Authorized Person Details. A download facility is also available wherein a member can download the list of Authorized Persons.



3.9 Digital Certificate – The digital signature module of ENIT lays down the procedure, FAQ’s and the digital signature guide which consists of the step wise procedure to configure the digital signature.

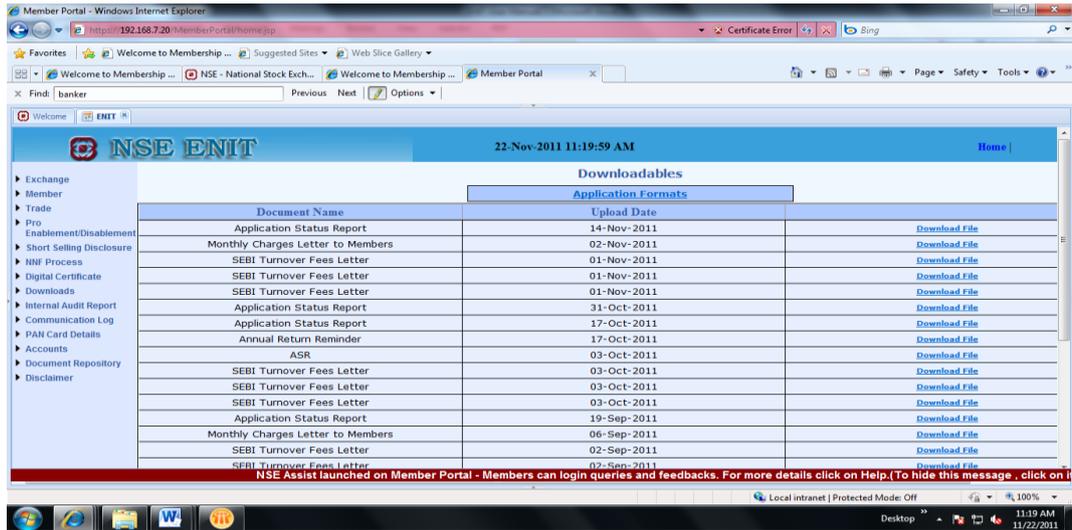
3.10 Document Repository - Document Repository is a storehouse of valid documents in the Exchange. The creation of the Repository is aimed at ensuring that a document once submitted to the Exchange would reside in the Repository till its validity period. The member can view all the valid documents and can submit latest documents as per requirement.

S.No	RefNO	Document Type	Submission Date	As O
1	2069_DOCREPOSITORY_1661	Share holding pattern of applicant & Holding Company	24-OCT-2011	-

3.11 PAN Card Details – The member can update the PAN details of self, sub brokers and authorized persons using this option.

3.12 Communication Log – Contains general communication sent to the member.

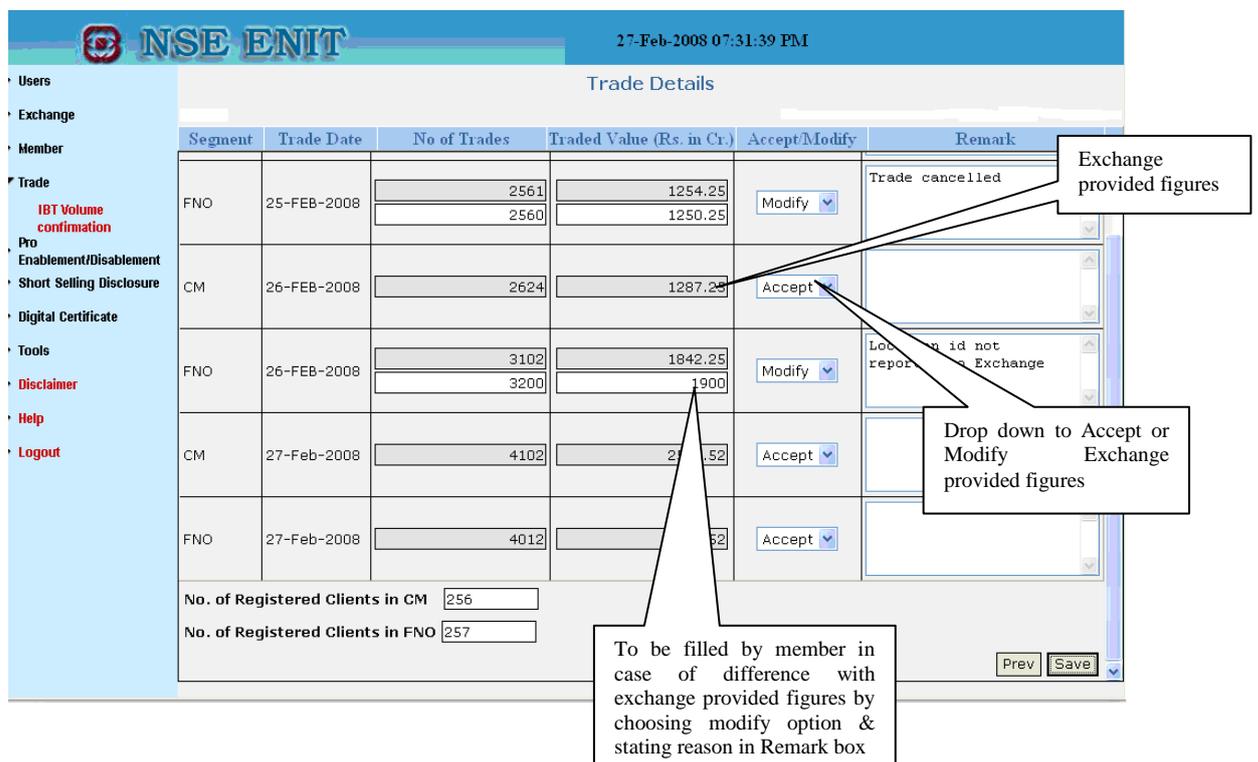
3.13 Downloads – Under the “downloads” option, member specific letters like Application Status Report, SEBI Turnover Fees Letter, Monthly charges letter etc. are downloaded to members on ENIT.



3.14 Status Report – Member can apply for Status Report to the Exchange by giving a request in this module under the Exchange tab in ENIT which consists of the following:-

- Request for Status Report
- Status Report Details

3.15 IBT/STWT/ SOR Volume Confirmation-Under this option, trading members shall confirm the segment-wise data of ‘Number of Trades’ and ‘Traded Value (Rs. in Crores)’ as provided by the Exchange. In the event of any discrepancy observed by members in the data, members are required to modify the data ‘Number of Trades, and ‘Traded Value’ (Rs. in Crores) using ‘Modify’ option provided in NSE ENIT.



3.16 Pro Enablement/ Pro Disablement – Under this option, members can requests for proprietary account trading applications electronically. Further, it may be noted that digital signature is imperative for accepting the applications through ENIT at the Exchange. Hence, it is mandatory that all the applications sent electronically through NSE ENIT contain digital signature as allotted by the Exchange to Authorised personnel of the trading firm.

Default location application: - Member notify details of default location to the Exchange through ‘Default Location Activation’ option.

Default Location Activation

Member Name:	<input type="text"/>
Sebi Registration Code:	<input type="text"/>
Segment:	-SELECT--
Connectivity:	<input type="radio"/> X.25 <input checked="" type="radio"/> TCP-IP
List of Active Equipments:	---SELECT---
Address Line 1:	10.179.3.98
Address Line 2:	<input type="text"/>
Address Line 3:	<input type="text"/>
City:	<input type="text"/>
PinCode:	<input type="text"/>

Digital Certificate Password:

Once connectivity type is selected the list of active equipment ids will

Upon selecting the equipment id, postal address associated with it will automatically

Change in Default Location: - Members use this option to change their existing default location, if necessary.

Address Line 1:

Address Line 2:

Address Line 3:

City:

PinCode:

New Location Details

Connectivity: X.25 TCP-IP

New location Equipments:

Address Line 1:

Address Line 2:

Address Line 3:

City:

PinCode:

Digital Certificate Password:

Once connectivity type is selected the list of active equipment ids will

Upon selecting the equipment id, postal address associated with it will automatically appear

PRO Enablement:- Members use this request for placing Proprietary Account Enablement requests

The screenshot shows the 'Pro Enablement' form in the NSE ENIT system. The form is divided into several sections: Member Information, Postal Address, Default Location Address, and Connectivity details. A callout bubble points to the 'User Id' dropdown menu, stating: 'Once connectivity type is selected, the list of User ids under that connectivity will appear'. Another callout bubble points to the 'Address Line1' field, stating: 'Upon selecting the user id, postal address associated with it will automatically appear'. A third callout bubble points to the 'Location' radio buttons, stating: 'Upon selecting the user id, postal address associated with it will automatically appear'. The form includes fields for Member Name, Sebi Registration Code, Market Segment (CM, F&O), Connectivity (X.25, TCP-IP), User Id (1021), User Name (MR. RAJIV M SHAH), and various address fields (Address Line 1-3, City, PinCode). The 'Location' section has radio buttons for 'Default' and 'Additional'. The 'Connectivity details' section includes 'Primary' (10.179.3.98) and 'Dual Locking(if any)'. A 'Digital Certificate Password' field is at the bottom.

‘Postal Address’ and ‘Default Location Address’ will appear automatically on selecting the user id. If the option ‘Default’ is selected, then member should ensure that both ‘Postal Address’ and ‘Default Location Address’ is pertaining to the single location.

PRO Disablement: - Members use this request for placing Proprietary account Disablement requests

Pro Disablement

Member Name:

Sebi Registration Code:

Market Segment: CM F&O

Connectivity: X.25 TCP-IP

User Id:

User Name:

Postal Address

Address Line1:

Address Line2:

Address Line3:

City:

PinCode:

Default Location Address

Address Line1:

Address Line2:

Digital Certificate Password:

Further, members can view the audit trail of Proprietary Account Enablement and Proprietary Account Disablement requests through the option 'Pro Application Status' report.

Pro Status Report:- Pro Status Report has been provided on the ENIT front-end. Members may use this option to view the final Pro-status of their user ids in each segment as on current date.

29-Jan-2009 12:44:12 PM

Home | Logout

Pro Status Report

Please find below the Latest Pro Status of User Ids Export Data | [EXCEL](#)

User Id	Segment	Location	Pro Status
6017	F	DEFAULT	D
12936	F	DEFAULT	E

For queries pertaining to the above mentioned modules kindly get in touch with the membership department on +91 22 2659 8249 or email id - minward@nse.co.in